

**NYSDOT Public Transportation Programs**  
**Title VI Compliance Requirements for Subrecipients**  
**INVESTIGATIONS, COMPLAINTS & LAWSUITS LOG**

In order to comply with 49 CFR Section 21.9(b), recipients and subrecipients shall prepare and maintain a list of any active investigations conducted by entities other than FTA, lawsuits, or complaints naming the recipient and/or subrecipient that allege discrimination on the basis of race, color, or national origin.

Subrecipients awarded Federal grants through NYSDOT must complete this log at the end of each quarter and at the end of each Fiscal Year, providing the requested information. Specified data is required semi-annually, as instructed below. Certain information is required only at the end of the Fiscal Year, as instructed below.

**Agency:** \_\_\_\_\_

**Title VI Officer:** \_\_\_\_\_

**E-Mail:** \_\_\_\_\_ **Contact:** \_\_\_\_\_

*Fiscal Year* FY \_\_\_\_\_

**REPORTING PERIOD** (check appropriate box):

\_\_\_ 1st half (July- December) \_\_\_ 2nd half (January- June) \_\_\_ Complete Fiscal Year (July- June)

1. Were any investigations, lawsuits or complaints filed during this time period? \_\_\_\_\_
2. If YES, please provide the following information for each investigation, lawsuit or complaint received during this time period:
  - Date the investigation, lawsuit or complaint was filed, and
  - Summary of the allegation(s) and status if resolved.
3. Based on the investigations, lawsuits or complaints filed during the Fiscal Year please provide a status of each allegation. {Report on separate paper at the end of the Fiscal Year}.
4. Please indicate if or what actions were taken by the subrecipient in response to the investigation, lawsuit or complaint. {Report on separate paper at the end of the Fiscal Year}.